

**APPENDIX 1
REVISED ANNUAL OPERATIONAL AUDIT PLAN 2008/09**

Key to review theme or type:		Key to Audit Sponsor:	
Reference	Description	Reference	Description
CG	Corporate Governance Arrangements	CEX	Chief Executive
TP	Transformational Projects	CHC	Executive Director, Communities, Health and Care
CC	Corporate/ Cross Cutting Reviews	CLN	Executive Director, Children's Services and Learning
IS	Information Systems Reviews:	ENV	Executive Director, Environment
FM	Financial Management Reviews:	NBH	Executive Director, Neighbourhoods
SS	Reviews of Miscellaneous Statutory Services	RES	Executive Director, Resources
CS	Improve community safety and tackle crime, disorder and anti-social behaviour	SOL	Solicitor to the Council
EN	Improve the street scene and environment		
IL	Promote independent living		
LL	Promote lifelong learning for all people		
DI	Tackle deprivation and inequality		
OA	Other Direct Audit Activity:		

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Corporate Governance Arrangements:				
CG	Corporate governance framework	H	SOL	Fieldwork complete
CG	Decision making and accountability	H	SOL	Scheduled 01/09
CG	Precautions against fraud/ thematic reviews	H	RES	Ongoing
CG	Corporate performance management	M	CEX	Scheduled 02/09
CG	Internal audit	L	RES	Scheduled 03/09
CG	Statement on internal control/ Annual Governance Statement	L	SOL	Complete
Transformational Projects:				
TP	In Control (Independent living)	H	CHC	Ongoing
TP	Commissioning plan for health and wellbeing	H	CHC	Scheduled 03/09
TP	Building Schools for the Future	H	CLN	Ongoing
TP	Children's Trust arrangement for delivery of Children's Plan	H	CLN	Scheduled 01/09
TP	Learning Futures and delivery of Learning Campuses	H	CLN	Draft report issued
TP	Major City Centre Developments	H	ENV	Incorporated within the review of Economic Development
TP	Highways futures	H	ENV	Ongoing - consultancy through Directorate Capital Management Board
TP	North South Spine	H	ENV	Incorporated within the review of Economic Development
TP	Waste collection service	H	ENV	Draft report issued
TP	Street lighting PFI	H	ENV	Ongoing

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TP	Strategic employment, housing and community growth	M	ENV	Ongoing - consultancy through Directorate Capital Management Board
TP	Leisure venues – alternative management	M	NBH	Ongoing - consultancy through Directorate Capital Management Board
TP	Neighbourhoods Agenda and Community hub programme	M	NBH	Ongoing - consultancy through Directorate Capital Management Board
TP	Combined heat and power programme	M	NBH	Ongoing - consultancy through Directorate Capital Management Board
TP	Heritage centre	M	NBH	Ongoing - consultancy through Directorate Capital Management Board
Corporate / Cross Cutting Reviews:				
CC	Land and property management	H	RES	Scheduled 02/09
CC	Capital programme management	H	CEX	Ongoing
CC	Contract management	H	RES	Ongoing
CC	Partnerships governance and reviews	H	CEX	Scheduled 02/09
CC	Procurement	H	RES	Draft report issued
CC	Programme and project management	H	CEX	Deferred following issue of Capital Programme report 28 November 2008
CC	Strategic service partnership	H	RES	Scheduled 03/09
CC	Corporate Complaints	M	CEX	Scheduled 01/09

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CC	Customer services	M	RES	Ongoing
CC	Flexible working programme	M	RES	Scheduled 01/09
CC	Local and multi area agreement	M	CEX	Draft report issued
CC	Staff learning and development	M	RES	Scheduled 03/09
CC	Economic development	L	ENV	Scheduled 01/09
Financial Management Reviews:				
FM	Housing and Council Tax Benefits administration	H	RES	Ongoing
FM	Cash collection and banking	M	RES	Scheduled 12/08
FM	Creditors	M	RES	Fieldwork complete
FM	Debtors	M	RES	Scheduled 12/08
FM	Expenses management	M	RES	Fieldwork complete
FM	Financial Management	M	RES	Deferred – reviewed in June 08 with substantial assurance (to revert to a biennial review)
FM	Housing rent collection and debt management	M	NBH	Fieldwork complete
FM	Council Tax	M	RES	Ongoing
FM	NNDR	M	RES	Scheduled 12/08
FM	Main Accounting System	M	RES	Ongoing
FM	Payroll	M	RES	Scheduled 01/09
FM	VAT accounting	M	RES	Draft report issued
Information Systems Reviews:				

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IS	Computer installations and operations	H	RES	Scheduled 02/09
IS	IS security management	H	RES	Scheduled 02/09
IS	IS strategy and planning	H	RES	Scheduled 02/09
IS	IT operating systems	H	RES	Scheduled 02/09
Community safety, crime, disorder and anti-social behaviour:				
CS	Neighbourhood Wardens	M	NBH	Deferred pending restructure of Neighbourhoods Wardens Service
Deprivation and inequality:				
DI	Housing Strategy - Homelessness	M	NBH	Complete
DI	Private Sector Housing – Home Improvement Loans	M	NBH	Ongoing
DI	Public Transport	M	ENV	Draft report issued
DI	Housing repairs and adaptations	M	NBH	Ongoing
DI	Concessionary travel	M	RES	Draft report issued
Street scene and environment:				
EN	Development control	M	ENV	Draft report issued
EN	Environmental Health	M	ENV	Ongoing
EN	Itchen Bridge	M	RES	Complete
EN	Street cleansing	M	NBH	Ongoing
EN	Highways resources management	M	ENV	Scheduled 02/09
EN	Section 106 agreements	M	ENV	Fieldwork complete
Independent living:				

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IL	Mental health and substance misuse services	H	CHC	Fieldwork complete
IL	National Health Service Act 2006 partnership agreements	H	CHC	Scheduled 01/09
IL	Direct payments	H	CHC	Scheduled 01/09
Lifelong learning for all people:				
LL	Across Schools thematic reviews	H	CLN	Ongoing
LL	Sports and recreation venues	H	NBH	Ongoing
LL	Arts and museums	M	NBH	Scheduled 02/09
LL	Libraries	M	NBH	Ongoing
LL	Schools PFI Contract management	M	CLN	Ongoing
LL	Student Finance	M	CLN	Deferred – Service to cease at the end of the year
Miscellaneous statutory services:				
SS	Local Land Charges	M	CEX	Draft report issued
SS	Registration services	M	ENV	Ongoing
Other Direct Audit Activity:				
OA	European funding		ENV	Scheduled 02/09
OA	FMSiS: Primary and special schools		CLN	Ongoing
OA	FMSiS: Secondary schools		CLN	Ongoing
OA	Audit Commission Liaison			Ongoing
OA	Audit Committee support/ member liaison			Ongoing
OA	Hampshire camera partnership			Complete

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OA	National Fraud initiative			Ongoing
OA	Solent sea rescue			Complete
Special Commissions / ad hoc consultancy and advice / contingency:				
	Woodmill Canoe Centre and Water Activity Centre	H	NBH	Fieldwork complete
	Millbrook Roundabout / Dock gate 20 Scheme Review	H	ENV	Complete
	Social Service Establishments – Thematic Review	H	CHC	Scheduled 02/09
	Management of the Capital Programme and Major Projects	H	RES	Draft report issued
	Private Residential Care	H	CHC	Scheduled 12/08
	Special Investigation – 0704	H	NBH	Closed
	Special Investigation – 0705	H	ENV	Closed
	Special Investigation – 0801	H	CSL	Ongoing
	Special Investigation – 0802	H	NBH	Ongoing